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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/576,725

09/06/2006

Jin Mizuguchi

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EXAMINER

MA, JAMESON Q

ART UNIT

PAPER NUMBER

4153

NOTIFICATION DATE

DELIVERY MODE

09/26/2008

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gbpatent@gbpatent.com
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Office Action Summary	Application No. 10/576,725	Applicant(s) MIZUGUCHI, JIN	
	Examiner JAMESON Q. MA	Art Unit 4153	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 20-29 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 20-29 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 09/06/2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date <u>20060906</u> . | 6) <input type="checkbox"/> Other: ____. |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

3. Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Abe et al. (US 4,313,338) in view of Eisele et al. (Sensors and Actuators B. Vol 78. Pages 19-25. 2001).

Regarding claim 28, Abe discloses a gas sensor, comprising a metal oxide film (see C4/L10-12), and wherein the resistance value of the gas-sensitive film can be measured (see C5/L48-58). Abe also discloses that the film may be a multi-layer film comprising a selection of films (see C4/L30-32).

Abe does not explicitly disclose an organic compound containing an introduced heterocycle comprising a nitrogen atom. Further, Abe does not explicitly disclose the organic compound is an organic pigment containing an introduced heterocycle

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comprising a nitrogen atom, and the organic pigment is a quinacridone, indigo, phthalocyanine, anthraquinone, indanthrone, anthanthrone, perylene, pyrazolone, perinone, isoindolinone, isoindoline, dioxazine, or a derivative thereof

Abe does not explicitly disclose the sensor in which protons are brought into contact with an organic compound. Abe also does not explicitly disclose the sensor wherein a change in electrical resistivity, photoconductivity, or optical absorption band for the organic compound accompanies proton addition to the organic compound.

Regarding limitations recited in claim 28 which are directed to protons and proton addition, it is noted that neither the manner of operating a disclosed device nor material or article worked upon further limit an apparatus claim. Said limitations do not differentiate apparatus claims from prior art. See MPEP § 2114 and 2115. Further, it has been held that process limitations do not have patentable weight in an apparatus claim. See *Ex parte Thibault*, 164 USPQ 666, 667 (Bd. App. 1969) that states “Expressions relating the apparatus to contents thereof and to an intended operation are of no significance in determining patentability of the apparatus claim.”

Eisele teaches that both semiconducting metal oxides and polymer and organic films can be used as sensitive layers in gas detection devices (see Fig. 3). In particular, Eisele teaches that polypyrroles and phthalocyanines have been investigated for this purpose (see Paragraph 3.4. Polymers and Organic Films).

Abe and Eisele are analogous because both references are directed to gas sensing devices that utilize gas sensitive films.

It would have been obvious to one of ordinary skill in the art at the time of invention to substitute for or add to the metal oxide film as taught by Abe, a polypyrrole and/or phthalocyanine film as taught by Eisele, because doing so would amount to nothing more than the simple substitution of one known gas sensitive layer for another to obtain predictable results of gas sensing.

4. Claim 29 is rejected under 35 U.S.C. 103(a) as being unpatentable over Abe et al. (US 4,313,338) in view of Eisele et al. (Sensors and Actuators B. Vol 78. Pages 19-25. 2001) as applied to claim 28 above, and further in view of Mizuguchi (Ber. Bunsenges. Phys. Chem. Vol 97. No 5. Pages 684-693. 1993).

Regarding claim 29, modified Abe discloses all of the claim limitations as set forth above.

While modified Abe teaches that an organic compound containing an introduced heterocycle comprising a nitrogen atom (pyrrole) can be used, it does not explicitly disclose the heterocycle comprising a nitrogen atom is a pyridine-based heterocycle.

Mizuguchi teaches that DPPP is a pyrrole that contains a pyridyl ring. Mizuguchi also teaches that protonation of DPPP in the solid state brings about significant changes in electrical resistivity (see Abstract).

Modified Abe and Mizuguchi are analogous because both references are directed to the use of pyrroles and their electrical resistivity.

It would have been obvious to one of ordinary skill at the time of invention to substitute for the polypyrrole film of modified Abe, DPPP as taught by Mizuguchi, because doing so would amount to nothing more than choosing from a finite number of

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identified, predictable pyrrole substances, with a reasonable expectation for success as a film with changing electrical resistivity properties.

5. Claims 20-22, 24, and 26-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Abe et al. (US 4,313,338) in view of Eisele et al. (Sensors and Actuators B. Vol 78. Pages 19-25. 2001), and further in view of DiMeo, Jr. et al. (US 2003/0153088).

Regarding claim 20, Abe discloses a gas sensor, comprising a metal oxide film (see C4/L10-12), and wherein the resistance value of the gas-sensitive film can be measured (see C5/L48-58). Abe also discloses that the film may be a multi-layer film comprising a selection of films (see C4/L30-32).

Abe does not explicitly disclose an organic compound containing an introduced heterocycle comprising a nitrogen atom. Further, Abe does not explicitly disclose a protonation catalyst in an islands-type arrangement, wherein the organic compound and the protonation catalyst contact each other.

Abe also does not explicitly disclose the sensor wherein a change in electrical resistivity, photoconductivity, or optical absorption band for the organic compound accompanies proton addition to the organic compound.

Regarding limitations recited in claim 20 which are directed to proton addition, it is noted that neither the manner of operating a disclosed device nor material or article worked upon further limit an apparatus claim. Said limitations do not differentiate apparatus claims from prior art. See MPEP § 2114 and 2115. Further, it has been held that process limitations do not have patentable weight in an apparatus claim. See Ex

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parte Thibault, 164 USPQ 666, 667 (Bd. App. 1969) that states "Expressions relating the apparatus to contents thereof and to an intended operation are of no significance in determining patentability of the apparatus claim."

Eisele teaches that both semiconducting metal oxides and polymer and organic films can be used as sensitive layers in gas detection devices (see Fig. 3). In particular, Eisele teaches that polypyrroles and phthalocyanines have been investigated for this purpose (see Paragraph 3.4. Polymers and Organic Films).

Abe and Eisele are analogous because both references are directed to gas sensing devices that utilize gas sensitive films.

It would have been obvious to one of ordinary skill in the art at the time of invention to substitute for or add to the metal oxide film as taught by Abe, a polypyrrole and/or phthalocyanine film as taught by Eisele, because doing so would amount to nothing more than the simple substitution of one known gas sensitive layer for another to obtain predictable results of gas sensing.

Modified Abe does not explicitly disclose a protonation catalyst in an islands-type arrangement, wherein the organic compound and the protonation catalyst contact each other.

DiMeo teaches a hydrogen gas sensor comprising a thin-film sensor element that interacts with hydrogen to provide a correspondingly altered response characteristic such as electrical resistance (see Abstract). DiMeo also teaches the use of platinum catalytic islands deposited on top of the film to interact with the hydrogen (see Fig. 15 and [0174]).

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Modified Abe and DiMeo are analogous because both references are directed toward gas sensing using thin film sensors.

It would have been obvious to one of ordinary skill in the art at the time of invention to add to the film layer of modified Abe, the platinum catalytic islands of DiMeo, to allow hydrogen gas detection in the instance that hydrogen gas was the target analyte.

Regarding claim 21, modified Abe discloses all of the claim limitations as set forth above. Additionally, modified Abe discloses the proton acceptance type gas sensor wherein at least one pair of electrodes is positioned in contact with a film (see Abe C3/L63-65) of the organic compound, and a change in electrical resistivity or photoconductivity can be detected (see Abe C5/L48-58).

Regarding claim 22, modified Abe discloses all of the claim limitations as set forth above. Additionally, modified Abe discloses the proton acceptance type gas sensor which is an element in which at least one pair of comb-shaped electrodes is positioned in an opposing arrangement on top of a substrate (see Abe C3/L56-58), a film of the organic compound is disposed thereon (see Abe C3/L63-65 and Eisele Fig. 3), and either a protonation catalyst contacts one surface or both surfaces of the film of the organic compound, or a protonation catalyst is distributed through the film of the organic compound (see DiMeo Fig. 15 and [0174]), wherein the sensor is an electrical resistance-mode sensor that detects changes in electrical resistivity between the electrodes (see Abe C5/L48-58).

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Regarding claim 24, modified Abe discloses all of the claim limitations as set forth above. Additionally, modified Abe discloses a proton acceptance type gas sensor wherein a film of an organic pigment that acts as a sensitivity promoter is layered to either one surface or both surfaces of a film of the organic compound (see Eisele Fig. 3: phthalocyanine).

Regarding claims 26-27, modified Abe discloses all of the claim limitations as set forth above. Additionally, modified Abe discloses the proton acceptance type gas sensor wherein:

- the organic compound is an organic pigment containing an introduced heterocycle comprising a nitrogen atom (see Eisele Fig. 3: phthalocyanine).
- the organic pigment is a pyrrolo-pyrrole, quinacridone, indigo, phthalocyanine, anthraquinone, indanthrone, anthanthrone, perylene, pyrazolone, perinone, isoindolinone, isoindoline, dioxazine, or a derivative thereof (see Eisele Fig. 3: phthalocyanine).

6. Claim 25 is rejected under 35 U.S.C. 103(a) as being unpatentable over Abe et al. (US 4,313,338) in view of Eisele et al. (Sensors and Actuators B. Vol 78. Pages 19-25. 2001), and further in view of DiMeo, Jr. et al. (US 2003/0153088) as applied to claims 20-22, 24, and 26-27 above, and further in view of Mizuguchi (Ber. Bunsenges. Phys. Chem. Vol 97. No 5. Pages 684-693. 1993).

Regarding claim 25, modified Abe discloses all of the claim limitations as set forth above.

While modified Abe teaches that an organic compound containing an introduced heterocycle comprising a nitrogen atom (pyrrole) can be used, it does not explicitly disclose the heterocycle comprising a nitrogen atom is a pyridine-based heterocycle.

Mizuguchi teaches that DPPP is a pyrrole that contains a pyridyl ring (see Abstract). Mizuguchi also teaches that protonation of DPPP in the solid state brings about significant changes in electrical resistivity.

Modified Abe and Mizuguchi are analogous because both references are directed to the use of pyrroles and their electrical resistivity.

It would have been obvious to one of ordinary skill at the time of invention to substitute for the polypyrrole film of modified Abe, DPPP as taught by Mizuguchi, because doing so would amount to nothing more than choosing from a finite number of identified, predictable pyrrole substances, with a reasonable expectation for success as a film with changing electrical resistivity properties.

7. Claims 20 and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Eisele et al. (Sensors and Actuators B. Vol 78. Pages 19-25. 2001) in view of DiMeo, Jr. et al. (US 2003/0153088).

Regarding claim 20, Eisele discloses a polymer FET gas sensor (see Fig. 2b), comprising an organic compound containing an introduced heterocycle comprising a nitrogen atom (see Paragraph 3.4. Polymers and organic films: polypyrroles).

Eisele does not explicitly disclose the sensor comprising a protonation catalyst in an islands-type arrangement, wherein the organic compound and the protonation catalyst contact each other, and a change in electrical resistivity, photoconductivity, or

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optical absorption band for the organic compound accompanies proton addition to the organic compound.

Regarding limitations recited in claim 20 which are directed to proton addition, it is noted that neither the manner of operating a disclosed device nor material or article worked upon further limit an apparatus claim. Said limitations do not differentiate apparatus claims from prior art. See MPEP § 2114 and 2115. Further, it has been held that process limitations do not have patentable weight in an apparatus claim. See *Ex parte Thibault*, 164 USPQ 666, 667 (Bd. App. 1969) that states “Expressions relating the apparatus to contents thereof and to an intended operation are of no significance in determining patentability of the apparatus claim.”

DiMeo teaches a hydrogen gas sensor comprising a thin-film sensor element that interacts with hydrogen to provide a correspondingly altered response characteristic such as electrical resistance (see Abstract). DiMeo also teaches the use of platinum catalytic islands deposited on top of the film to interact with the hydrogen (see Fig. 15 and [0174]).

Eisele and DiMeo are analogous because both references are directed toward gas sensing using thin film sensors.

It would have been obvious to one of ordinary skill in the art at the time of invention to add to the film layer of Eisele, the platinum catalytic islands of DiMeo, to allow hydrogen gas detection in the instance that hydrogen gas was the target analyte.

Regarding claim 23, modified Eisele discloses all of the claim limitations as set forth above. Additionally, modified Eisele discloses the sensor having a field-effect

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transistor structure in which a n⁺-Si substrate functions as a gate, source and drain electrodes are formed on top of the substrate with a silicon oxide insulating film disposed there between, and a film of the organic compound is formed on top of the silicon oxide and the electrodes (see Fig. 2b).

Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JAMESON Q. MA whose telephone number is (571)270-7063. The examiner can normally be reached on M-R 7:30 AM - 6:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Basia Ridley can be reached on (571)272-1453. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Tony G Soohoo/
Primary Examiner, Art Unit 1797
AU 4153 TA

JM
September 15, 2008